Monitoring Systems Provided by CEM
(Centre for Evaluation and Monitoring)

End User Licence Agreement 2023-2024
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This agreement (the “Agreement”) is made between:

(1) The end user of the Licence (the “Client”);

(2) The Chancellor, Masters and Scholars of the University of Cambridge, acting through its department Cambridge University Press & Assessment of the Triangle Building, Shaftesbury Road Cambridge, CB2 8EA (hereinafter to be referred to as “Centre for Evaluation and Monitoring” or “CEM”);

(each a “Party”, and together the “Parties”).

WHEREAS:

A. By placing an Order, the Client agrees to purchase the Licence from CEM or a CEM Affiliate and understands that in doing so enters into a legally binding agreement with CEM in respect of the Licence.

B. On the terms set out in this Agreement, CEM agrees to grant the Licence to the Client.

I. COMMENCEMENT AND DURATION

This Agreement shall commence on the Agreement Start Date and shall remain in full force and effect indefinitely, unless terminated earlier in accordance with the provisions of clause 13 (Termination) or unless the Client opts out in accordance with clause 4 (Opt-out).

II. THE LICENCE

2.1. CEM hereby grants to the Client the right to install the CEM Systems and use multiple copies of the CEM Assessment Product only on such of the Client’s computers that are running validly licensed copies of the operating system for which the CEM Assessment Product was designed.

2.2. CEM hereby grants to the Client the right to access online or download multiple copies of the CEM Reports for use by the Client, in accordance with the terms of this Agreement.

2.3. CEM provides the Client with a non-exclusive, non-transferable, revocable, royalty-free licence, without the right to sub-licence, to use the CEM IPR during the term of this Agreement for the purposes set out herein.

2.4. CEM agrees to provide the CEM Assessment Product as detailed in the Client’s Order in accordance with the terms and conditions of this Agreement. CEM will use its reasonable endeavours to ensure that the CEM Assessment Product is provided under the best care, skill and diligence and in accordance with best industry practice as outlined by the guidelines of the British Educational Research Association (BERA), and in accordance with CEM’s ethical research framework, and in accordance with all applicable laws and regulations, and in consultation with the Client.

III. THE LICENCE FEE

3.3. The Client will be obliged when placing the Order to provide an estimate of the number of pupils who will sit the CEM Assessment. The Client will be given an opportunity before CEM or a CEM Affiliate issues its invoice to revise the estimate within the Order downwards by up to 20% or upwards (without limit). The Client must give prompt written notice of any such revision on receiving CEM’s or a CEM Affiliate’s request for confirmation of the relevant number of pupils.

3.4. In the event that the number of pupils actually sitting the CEM Assessment is higher than the number of pupils included in the Order, the Client accepts that the Licence Fee will be calculated based on the actual number of pupils sitting the CEM Assessment.

3.5. In the event that the number of pupils actually sitting the CEM Assessment is lower than the estimated number of pupils included in the Order, the Licence Fee will be calculated based on the estimated number of pupils in the Order (as revised by any notice given by the Client in accordance with this clause 3).

3.6. In the event that no pupils sit the CEM Assessment, the Licence Fee will be calculated based on the estimated number of pupils in the Order (as revised by any notice given by the Client in accordance with this clause 3), subject however to the terms of clause 13 (Termination) if this occurrence is due to the termination of this Agreement.

3.7. Notwithstanding any other provision of this Agreement, CEM may increase the Licence Fee with effect from the 1st day of March in any calendar year, and CEM or a CEM Affiliate shall give the Client reasonable notice in writing of the proposed change.

3.8. Where an Order expresses that a CEM Assessment Product is a trial, the Client shall not need to pay a Licence Fee. CEM shall be entitled to utilise any data submitted by the Client in the process of using the trial for the purpose of improving, amending, assessing, analysing, creating and otherwise for the purpose of offering the CEM Assessment Product. During a trial, the Client accepts that the CEM Assessment Product is not a finished product and is not a “sale of goods or services”, and therefore no implied terms under the Sale of Goods and Services Act 1982 shall apply.
3.9. In the event of (a) late payment or non-payment of the Licence Fee or other sums payable by the Client under this Agreement or (b) any other material breach of this Agreement, and without prejudice to any other right or remedy CEM may be entitled to, CEM may: (i) seek the remedies under the Late Payment of Commercial Debts (Interest) Act 1998; and/or (ii) immediately terminate this Agreement by giving notice in writing to the Client, including, but not limited to, the termination of the Client’s access to the CEM Assessment Product or the provision of the CEM Assessment Product.

4. OPT-OUT

4.1. Where the Client purchases the CEM Assessment Product, the Client may notify CEM in writing not later than the Annual Opt-out Notice Cut-off Date that it wishes to opt-out off receiving access to the subsequent year of the CEM Assessment or that it wishes to reduce the number of pupils for the subsequent year of the CEM Assessment.

4.2. Where the Client purchases the CEM Assessment Product after the Annual Opt-out Notice Cut-off date the Client shall have eight weeks from the date of purchase to notify CEM in writing that it wishes to opt-out of receiving access to the subsequent year of the CEM Assessment.

4.3. Where the Client has given notice to opt-out in accordance with clause 4.1 or clause 4.2, the Client’s access to the CEM Assessment Product shall cease on the Access Termination Date.

5. SET-OFF AND WITHHOLDING TAX

5.1. All amounts due from the Client under this Agreement shall be paid by the Client to CEM or to a CEM Affiliate in full without any set-off, deduction or withholding, other than any deduction or withholding of tax as required by law.

5.2. Where the Client is obliged to deduct or withhold any parts of the monies due to CEM or to a CEM Affiliate, in accordance with clause 5.2, the sum due and owing to CEM or a CEM Affiliate shall be increased to the extent that the sum received by CEM or a CEM Affiliate shall be the same as the sum that would have been received had there been no such deduction or withholding of tax. The Client must account to the relevant authorities in a timely manner all monies required to be withheld from CEM or a CEM Affiliate, and provide CEM or a CEM Affiliate with an official receipt from the authority of the same within 30 days of that payment.

6. INTELLECTUAL PROPERTY

6.1. The Client acknowledges and agrees that any and all of the CEM IPR, are and shall remain the sole and exclusive property of CEM. The Client may not reproduce or use the CEM IPR other than as set out in this Agreement or as specified in writing by CEM. For the purposes of this Agreement.

6.2. CEM shall at its own expense obtain written permission for the inclusion of any copyright material in the CEM Assessment Product and shall be responsible for the payment of all fees charged for the use of such material subject to copyright.

6.3. CEM warrants to the Client that the Intellectual Property Rights in the CEM Assessment Product, so far as it is aware and to the best of its knowledge and belief, do not infringe the rights of any third party and that no third party has threatened or is currently threatening proceedings in respect of such infringement, and none of its Intellectual Property Rights in the CEM Assessment Product is the subject of any actual or, so far as it is aware, threatened challenge, opposition or revocation proceedings.

6.4. The Client undertakes that under no circumstance shall the Client:

(a) copy, reproduce or create derivative works from the CEM Assessment Product unless otherwise expressly permitted by this Agreement;

(b) provide access to the CEM Assessment Product to any a third party, other than as contemplated under this Agreement, without the express written permission of CEM.

(c) provide access to or share the CEM Assessment Product with any third party other than as contemplated under this Licence. It is acknowledged and agreed by CEM that the Client shall be allowed to share the CEM Assessment Product with third parties with whom it is strictly necessary in order to allow the Client to make full use of the CEM Assessment Product as contemplated under this Agreement, which shall include, but not be limited to bodies representing parents, local authorities, the Client’s parent company/trust, external inspectors and education consultants employed by the Client;

(d) sublicense, rent, sell or lease any portion of the CEM Assessment Product;

(e) alter, modify, disassemble, reverse engineer all or any part of the CEM Assessment Product;

(f) translate, merge, adapt or modify all or any part of the CEM Assessment Product;

(g) infringe the CEM IPR or those of any third party in relation to their use of the CEM Assessment Product;

(h) use the CEM Assessment Product in a way that could damage, disable, overburden, impair or compromise CEM’s systems or security or interfere with other users; nor

(i) use the CEM Assessment Product in any manner not authorised by these terms.
7. DATA PROTECTION

7.1 The Parties agree at all times to handle personal information of the candidates strictly in accordance with the Data Protection Legislation. Each Party shall have the rights and obligations subscribed to it under the Data Sharing Schedule 2 set out below.

7.2 Both Parties will act as Data Controller in respect of any personal data entered into the System or otherwise supplied to CEM for the purpose of this Agreement and will do so in accordance with the terms of the Data Sharing Schedule 2.

7.3 Both Parties agree they are responsible for ensuring they have a lawful basis for sharing any of the personal data provided to CEM as part of the System.

7.4 The Client agrees that it shall inform all of its candidates that sit a CEM Assessment how their data is used by reference to the CEM privacy statement as published on the CEM.org website.

8. FREEDOM OF INFORMATION

8.1. The Client hereby acknowledges that CEM is subject to the requirements of the Information Legislation and the Client agrees to assist and co-operate with CEM (at their own expense) to enable CEM to comply with these information disclosure requirements.

8.2. Further, in the event that the Client is also subject to Information Legislation, CEM agrees to assist and co-operate with the Client (at their own expense) to enable the Client to comply with these information disclosure requirements.

8.3. In the event that the Client receives an information disclosure request regarding CEM or any information the Client holds arising out or in connection with this Agreement, the Client shall promptly notify CEM with details of the request and shall take CEM’s representations into consideration while deciding the response to that particular request.

8.4. Any disclosure made by either Party pursuant to such Party’s obligations under the provisions of Information Legislation, shall not constitute a breach of this Agreement.

8.5. CEM shall not be liable for any loss, damage, harm or other detriment however caused arising from the disclosure (pursuant to the obligations of Information Legislation) of any information relating to this Agreement or the Client.

8.6. The provisions of this clause 8 shall apply during the continuance of this Agreement and indefinitely after its expiry or termination.

9. CONFIDENTIALITY

9.1 Each Party undertakes that it shall not disclose the other party’s Confidential Information, except as permitted by clause 9.2.

9.2 Each Party may disclose the other party’s Confidential Information:

(a) to its employees, officers, representatives, contractors, subcontractors or advisers who need to know such information for the purposes of exercising the party’s rights or carrying out its obligations under or in connection with this Agreement. Each party shall ensure that its employees, officers, representatives, contractors, subcontractors or advisers to whom it discloses the other party’s Confidential Information comply with this clause 9; and

(b) as may be required by law, a court of competent jurisdiction or any governmental or regulatory authority.

9.3 Without prejudice to the generality of the foregoing, the Client understands and accepts that information consisting of the raw scores of candidates that have taken a CEM Assessment or the average raw scores for the entire cohort would if disclosed reveal CEM’s Confidential Information and that disclosure of these test scores is therefore restricted by the undertaking given by the Client in clause 9.1.

9.4 The provisions of this clause 9 shall continue to apply after termination or expiry of this Agreement.

10. LIABILITY OF THE PARTIES

10.1 The following sets out the entire financial liability of CEM to the Client in respect of any breach by CEM of this Agreement, non-performance or incomplete performance or contemplated performance by CEM of this Agreement, negligence for which CEM is liable, and any representation or statement arising under or in connection with this Agreement or by or on behalf of CEM:

(a) CEM shall in no circumstances be liable for any loss of profits, loss of business or production, depletion of goodwill, loss of or corruption to data, and/or any other indirect loss; and

(b) in respect of all other losses and claims, the aggregate liability of CEM for any breach, negligence and/or liability arising in any other way out of the subject matter of this Agreement or the performance of the Assessment will not exceed in total the amounts actually received by CEM from the Client for the use of the Licence under this Agreement.

10.2 CEM will in no event be liable for any statement or representation about the Client, their business, products or services made or communicated in or by any item, material or work approved by the Client in writing.

10.3 For clarity, nothing in this Agreement limits or excludes either Party's liability for death or personal injury caused by such Party's negligence or any fraud or for any sort of liability that by law cannot be limited or excluded.
II. CEM SYSTEMS

11.1 The Client hereby acknowledges that certain elements of the CEM Assessment Product may at any time be in the process of being developed and CEM does not guarantee access on demand. The CEM Assessment Product may subsequently be substantially modified or withdrawn at the sole discretion of CEM.

11.2 The Client’s installation of the CEM Systems and use of the CEM Assessment Product are at the Client’s sole discretion and risk and may produce unintended or erroneous results and may contain bugs, errors and other problems that could cause system or other failures and data loss. The Client accepts that the CEM Systems and the CEM Assessment Product are provided to the Client “as is” without any warranty, and CEM expressly disclaims any and all warranties, including, but not limited to, warranties of merchantability, fitness for a particular purpose or error free operation.

11.3 CEM is not obligated to provide Updates to the Client. However, in the event CEM elects in its sole discretion to provide Updates to the Client, such Updates shall be deemed to constitute part of the CEM Assessment Product and shall therefore be subject to these terms.

12. PUBLICITY

The Client warrants to CEM it shall at no point:

(a) take any action which is intended, or could reasonably be expected to, harm in any way the reputation of CEM or any part of it; or

(b) take any action which is intended, or could reasonably be expected to lead to any unwanted or unfavourable publicity for CEM or any part of it.

13. TERMINATION

13.1 Subject to clauses 13.4, 13.5 and 13.6, from the date the Client placed the Order and purchased the Licence, the Client may terminate this Agreement by written notice to CEM.

13.2 If either Party has given notice to terminate this Agreement in accordance with this clause 13, this Agreement shall terminate on the date the Client notified CEM.

13.3 CEM reserves the right to perform credit checks on the Client at any point before or after the Agreement Start Date (as may be applicable). Should the credit check on the Client not be, in CEM’s sole opinion, favourable, CEM shall be entitled to terminate the Agreement with immediate effect upon notice in writing to the Client if the Agreement has already commenced.

13.4 If the Client terminates this Agreement in less than thirty (30) days from the date it placed the Order and has neither installed the CEM System nor used the CEM Assessment Product, no fee shall be payable by the Client.

13.5 If the Client terminates this Agreement on any date falling between thirty (30) and sixty (60) days of placing the Order and has neither installed the CEM System nor used the CEM Assessment Product, a fee of £50 shall be payable by the Client.

13.6 If the Client terminates this Agreement more than sixty (60) days from the date it placed the Order or has installed the CEM System and used the CEM Assessment Product, the Client shall pay to CEM or to a CEM Affiliate the full price for the services ordered by it pursuant to the Order.

13.7 Any payments to be made by the Client to CEM or to a CEM Affiliate pursuant to this clause 13 or under any provision of this Agreement shall be made upon receipt by the Client of an invoice from CEM or from a CEM Affiliate and within the period of time specified in the invoice.

14. MODERN ANTI-SLAVERY

14.1 The Client shall:

(a) comply with the anti-slavery policy of the University of Cambridge as set out at http://www.registraryoffice.admin.cam.ac.uk/governance-and-strategy/anti-slavery-and-anti-trafficking and as amended from time to time;

(b) not engage in any activity, practice or conduct that would constitute an offence under sections 1, 2 or 4 of the Modern Slavery Act 2015 if such activity, practice or conduct was carried out in the UK;

(c) include in all its contracts with its subcontractors and suppliers' anti-slavery and anti-human trafficking terms that are at least as onerous as those set out in this clause 14; and

(d) promptly report to CEM any suspected or known slavery or human trafficking in connection with the performance of this Agreement, any breach or potential breach of this clause 14 (Modern Anti-Slavery) or any breach or potential breach of CEM’s anti-slavery policy.

14.2 The Client represents that:

(a) it, its officers and its employees have not been convicted of any offence under the Modern Slavery Act 2015 or any equivalent offence in any jurisdiction involving slavery and human trafficking;

(b) neither it, its officers or its employees have been or are subject to any investigation, inquiry or enforcement proceedings by any governmental, administrative or regulatory body regarding any offence in any jurisdiction involving slavery or human trafficking; and
(c) it has and shall maintain in place throughout the term of this Agreement its own policies, training and procedures, to ensure compliance with clauses 14 (Modern Anti-Slavery) and 15 (Anti-Bribery).

14.3 The Client shall ensure it performs adequate due diligence procedures for its direct subcontractors and suppliers in connection with the performance of this Agreement, to ensure there is no slavery or human trafficking in the contractual chain.

15. ANTI-BRIBERY

15.1 The Parties represent to one another that:

(a) they have not committed any offence under the UK Bribery Act 2010 or done any of the following (referred to hereafter as “Prohibited Acts”):

(b) offered, given or agreed to give any Representative of the other Party any gift or consideration of any kind as an inducement or reward for doing or not doing or for having done or not having done any act in relation to the obtaining of performance of this Agreement or any other agreement with the other Party or for showing or not showing favour or disfavour to any person in relation to this Agreement or any other agreement with the other Party; or

(c) in connection with this Agreement paid or agreed to pay any commission other than a payment, particulars of which (including the terms and conditions of the agreement for its payment) have been disclosed in writing to the other Party; and

(d) they have in place adequate procedures to prevent bribery and corruption, as contemplated by section 7 of the UK Bribery Act 2010.

16. SEVERABILITY

In the event that any Court or other competent authority decides that any provision of this Agreement is void or otherwise ineffective in whole or in part then any other part and the other terms and conditions of this Agreement shall continue in full force and effect.

17. RIGHTS OF THIRD PARTIES

The Parties do not intend that any term of this Agreement shall be enforceable solely by virtue of the Contracts (Rights of Third Parties) Act 1999 by any person who is not a party to this Agreement.

18. NO PARTNERSHIP

Nothing in this Agreement is intended to, or shall be deemed to, establish any partnership or joint venture between the Parties, constitute any Party the agent of another Party, or authorise any Party to make or enter into any commitments for or on behalf of any other Party.

19. ASSIGNMENT AND NOVATION

CEM reserves the right to at any time assign or novate any or all of its rights and/or obligations under this Agreement. The Client may only assign or novate any or all of their rights and/or obligations upon written confirmation by CEM, which CEM shall not be obliged to approve.

20. AMENDMENTS AND VARIATIONS

20.1 CEM has the right to amend and vary the terms of this Agreement from time to time.

20.2 Notwithstanding clause 20.1, CEM will only notify the Client in writing where there is a Material Change, provided however, that CEM shall not be held liable for a failure to notify the Client of a Material Change.

20.3 The Client hereby acknowledges that all amendments and variations of this Agreement shall be published by CEM on the CEM.org website and that the Client has an obligation to monitor the CEM.org website for all amendments and variations of this Agreement.

21. ENTIRE AGREEMENT

This Agreement constitutes the entire agreement between the Parties with respect to the subject matter of this Agreement and supersedes all previous agreements, arrangements or undertakings between the Parties relating to the subject matter of this Agreement and any representations or warranties previously given or made to it.

22. GOVERNING LAW AND JURISDICTION

This Agreement is governed by and interpreted in accordance with English law. Any disputes or claims relating to this Agreement shall be subject to the exclusive jurisdiction of the English Courts.

23. NOTICES

23.1 Any notice given to the Client by CEM under or in connection with this Agreement will be effective if:

(a) posted on CEM’s website and/or in a prominent position on the CEM System; or

(b) sent to the Client at the address or email address given in the Order or such other address as the Client may notify to CEM. The Client shall ensure that it promptly notifies CEM of any changes to its postal and email address.
Any notice given by the Client to CEM under or in connection with this Agreement will be effective if sent to one of the following addresses:
Address: The Triangle Building, Shaftesbury Road, Cambridge CB2 8EA; or Email:
Primary products - primary.support@cem.org
Secondary products - secondary.support@cem.org
Post 16 products - alis@cem.org

23.2 A notice is given by either party in accordance with clause 23 shall be deemed to have been received:
(a) if delivered by hand, at the time the notice is left at the address;
(b) if sent from the UK by pre-paid first-class post or other next working day delivery service, at 09.00am on the second working day after posting;
(c) if sent by pre-paid airmail, at 09.00am on the seventh working day after posting; or
(d) if sent by email, at the time of transmission provided that if this would occur outside business hours in the UK it shall be deferred until business hours resume.

SCHEDULE I – DEFINITIONS AND INTERPRETATION

I. DEFINITIONS AND INTERPRETATION

The following definitions and rules of interpretation apply in this Agreement:

1.1 Definitions:

“Access Termination Date” means, for the time being, the 31st day of December in each calendar year.

“Agreement Start Date” means the date on which the Client places the Order and purchases the Licence.

“Annual Opt-out Notice Cut-off Date” means, for the time being, the 1st day of February in each calendar year.

“CEM Affiliate” means any agent and/or other third-party licensed to sell any of the CEM Assessment Products.

“CEM Assessment” means the test materials that are administered by the Client and that are derived from the CEM Assessment Product.

“CEM Assessment Collateral” means all information relating to the development, performance and/or marking of CEM Assessments (whether past, present or future assessments), including all question papers, answer sheets, mark schemes and model answers, question banks and specifications; the process for standardising the results of CEM Assessments; and all guidance relating to CEM Assessments provided by CEM in order to support its customers.

“CEM Assessment Product” means any one or more of the following CEM computer-based assessment products:

i. ASPECTS, BASE, PIPS Baseline, PIPS and/or InCAS or Cambridge Primary Insights (a “Primary Product”);
ii. MidYIS, Yellis (a “Secondary Product”);
iii. Alis, CEM IBE (a “Post-16 Product”),

\[\text{together with the CEM Reports, as accessed via the CEM Systems and as replaced or updated from time to time.}\]

“CEM IPR” means any and all Intellectual Property Rights held in the CEM Systems, CEM Assessment Product and CEM Reports (excluding data provided or owned by the Client) including but not limited to all copyright therein.

“CEM Reports” means access to online or downloadable analysis of assessment data and other data provided by the Client, including additional guidance material that are the subject of the Order.

“CEM Systems” means the online systems installed or accessed by the Client that are subject to the terms and conditions of the Licence and that provide access to the relevant CEM Assessment Product.

“Confidential Information” means all information of a confidential nature (in whatever form) which is disclosed by either party and includes:

(a) any know-how, trade secrets, financial, commercial, technical, tactical or strategic information of any kind;
(b) where CEM is the disclosing party, information consisting of CEM Assessment Collateral;
(c) all information produced or developed in the performance of this Agreement;
(d) all information agreed to be, or marked as, confidential;
(e) any information the receiving party knows, or could reasonably be expected to know, is confidential; or
(f) the terms and existence of this Agreement.

“Data Controller” has the meaning given to it in the Data Protection Legislation.

“Data Protection Legislation” means the Data Protection Act 2018, the Regulation EU/2016/679 of the European Parliament and of the Council of 27 April 2016 (the General Data Protection Regulation) and all applicable laws and regulations relating to processing of personal data and privacy including where applicable the guidance and codes of practice issued by the Information Commissioner.
“Information Legislation” means the Freedom of Information Act 2000 (“FOIA”), the Environmental Information Regulations 1992 (“EIR”) or any other applicable legislation or codes governing access to information.

“Intellectual Property Rights” means all patents, rights to inventions, copyright and related rights, trade marks, business names and domain names, rights in get-up, goodwill and the right to sue for passing off or unfair competition, rights in designs, rights in computer software, database rights, rights to use, and protect the confidentiality of, the Confidential Information and all other intellectual property rights, whether registered or not, which subsist or will subsist now or in the future in any part of the world.

“Licence” means the software licence granted by CEM to the Client on the terms and conditions herein to use one or more of the CEM Assessment Products and to install and access the CEM Systems.

“Licence Fee” means the fee payable by the Client to CEM or to a CEM Affiliate in accordance with clause 3.1.

“Material Change” means any change to the terms of this Agreement that would be reasonably considered to adversely affect the Client.

“Minor Change” means any change to the terms of this Agreement that is not a Material Change.

“Order” means the purchase of the Licence as effected via the CEM.org website and subject to the terms and conditions herein.

“Updates” means, depending on the context, any changes, amendments, or upgrades to the CEM Systems and/or the CEM Assessment Product.

1.2 Headings. Clause, Schedule and paragraph headings shall not affect the interpretation of this Agreement.

1.3 Definition of person. A person includes a natural person, corporate or unincorporated body (whether or not having separate legal personality)

1.4 Clause and paragraph references. References to Clauses and Schedules are to the clauses and schedules of this Agreement and references to Paragraphs are to paragraphs of the relevant Schedule.

1.5 Schedules. The Schedules form part of this Agreement and shall have effect as if set out in full in the body of this Agreement. Any reference to this Agreement includes the Schedules.

1.6 Company. A reference to a company shall include any company, corporation or other body corporate, wherever and however incorporated or established.

1.7 Singular and plural. Unless the context otherwise requires, words in the singular shall include the plural and in the plural shall include the singular.

1.8 Gender. Unless the context otherwise requires, a reference to one gender shall include a reference to the other genders.

1.9 Party. A reference to any party shall include that party’s personal representatives, successors and permitted assigns.

1.10 Statute. A reference to a statute or statutory provision is a reference to it as amended, extended or re-enacted from time to time.

1.11 Subordinate legislation. A reference to a statute or statutory provision shall include all subordinate legislation made from time to time under that statute or statutory provision.

1.12 Writing and written. A reference to writing or written includes fax and email.

1.13 Negative obligations. Any obligation on a party not to do something includes an obligation not to allow that thing to be done.

1.14 Inclusionary language. Any words following the terms including, include, in particular or any similar expression shall be construed as illustrative and shall not limit the sense of the words, description, definition, phrase or term preceding those terms.
SCHEDULE 2 – DATA SHARING
Data Controller to Data Controller

I. DEFINITIONS AND INTERPRETATION

1.1 In this Schedule 2, the following words have the following meanings:

Adequacy Decision: decision by the European Commission subject to article 45 GDPR.

Agreed Purposes: the purposes set out in the Annex to this Schedule;

Data Subject Request: means a request made by a Data Subject to exercise any rights of Data Subjects under Data Protection Legislation;

Data Protection Legislation: (as applicable) the Data Protection Act 2018; Regulation (EU) 2016/679 (the “GDPR”); and any laws, regulations and/or secondary legislation transposing the GDPR into English law or with similar subject matter, including any successor legislation to the Data Protection Act 2018;

Data Sharing: the transfer of the Shared Personal Data;

EEA: European Economic Area.

Shared Data Breach: any security breach or other action or inaction leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure or access to Shared Personal Data;

Shared Personal Data: any personal data shared between the Parties in connection with the Agreement.

Standard Contractual Clauses: standard contractual clauses for the transfer of personal data from the EEA to third countries (controller to controller transfers) as set out in the Commission Decision 2004/915/EC of 27 December 2004 amending Decision 2001/497/EC.

1.2 Where used in this Schedule 2, the terms Data Controller, Data Processor, Data Subject, Personal Data and processing and Special Category Data all have the meanings given to those terms in the Data Protection Legislation.

1.3 This Schedule 2 is intended to be legally binding and shall prevail over all other agreements, arrangements and understandings between the Parties relating to the Data Sharing, save for Schedule 1, whether made before or after the date of this Schedule 2 and notwithstanding any wording to the contrary in such agreements, arrangements and understandings between the Parties.

1.4 In case of any conflict or inconsistency between the provisions of this Agreement, this shall be the order on precedence: Schedule 2; Schedule 1; main terms of the Agreement.

1.5 A reference to a statute or statutory provision includes all subordinate legislation made under it from time to time, and is a reference to it as amended, extended or re-enacted from time to time (“Replacement Law”). References to terms defined in a statute or statutory provision shall be replaced with or incorporate (as the case may be) references to the equivalent terms defined in any Replacement Laws, once in force and applicable.

1.6 To the extent that a term of this Schedule 1 requires the performance by a party of an obligation “in accordance with Data Protection Legislation” (or similar), unless otherwise expressly agreed in this Schedule 2, this requires performance in accordance with the relevant requirements of such Data Protection Legislation as is in force and applicable at the time of performance (if any).

2. PURPOSE

2.1 In relation to the Shared Personal Data, each of the Parties agrees that it is a Data Controller.

2.2 During the term of this Agreement, the Parties shall share with each other certain Personal Data. The Data Sharing is necessary to support the Agreed Purposes of both Parties.

2.3 The parties shall only use the Shared Personal Data for lawful purposes in connection with the Agreed Purposes and not process the Shared Personal Data in a way that is incompatible with the Agreed Purposes or Data Protection Legislation.

3. DATA PROTECTION COMPLIANCE

3.1 Each Party shall comply with all the obligations imposed on a controller under the Data Protection Legislation. Any material breach of the Data Protection Legislation by a Party in connection with the Data Sharing shall constitute a material breach of this Schedule 2.

3.2 Each Party shall:

(a) process the Shared Personal Data fairly and lawfully, each of them as a Data Controller;

(b) for each Agreed Purpose, ensure that it processes the Shared Personal Data on the basis of one of the legal grounds set out in Article 6 of the GDPR (Lawfulness of Processing) or Article 9 of the GDPR (Processing of special categories of personal data) (as applicable);
(c) inform, or otherwise make information available to the Data Subjects of the purposes for which it will process Personal Data and provide or make available all information that it must provide in accordance with its own applicable law to ensure that Data Subjects understand how their Personal Data will be processed by that Party;

(d) ensure that it has in place appropriate technical and organisational measures to protect against unauthorised or unlawful processing of Personal Data and against accidental loss or destruction of, or damage to, Personal Data;

(e) not transfer any personal data outside the European Economic Area otherwise than in compliance with the Data Protection Legislation.

4. COOPERATION BETWEEN THE PARTIES

4.1 Each Party shall assist the other in complying with all applicable requirements of the Data Protection Legislation. In particular, in connection with the Shared Personal Data, each Party shall:

(a) ensure that any notices given to Data Subjects in relation to the Shared Personal Data are provided in a manner and according to timing that is compliant with Data Protection Legislation;

(b) inform the other Party if any Personal Data has been transferred to the other Party in error or otherwise in breach of the Data Protection Legislation, requesting the immediate deletion of such inappropriately transferred Personal Data;

(c) if legally required, inform the other Party about the receipt of a complaint or Data Subject Request from any Data Subject regarding the Shared Personal Data;

(d) deal at its discretion with all Data Subject Requests and complaints that it receives directly from a Data Subject or the person making the complaint. For the avoidance of doubt, a Data Subject Request made to one Party in its capacity as Data Controller shall not oblige the other Party to disclose any Personal Data it holds independently in its capacity as a Data Controller;

(e) if legally required, inform the other Party without delay if a Data Subject requests the erasure of any Shared Personal Data. For the avoidance of doubt, where one Party is obliged to erase any Shared Personal Data, the other Party shall not be obliged to erase the same Shared Personal Data if that other Party may lawfully continue to hold and process such Shared Personal Data;

(f) provide reasonable and prompt assistance to the other Party as is necessary to enable it to comply with a Data Subject Request and/or to respond to any other queries or complaints received from Data Subjects or supervisory authorities or regulators and, in each case related to the Shared Personal Data;

(g) provide the other Party with such information as the other Party reasonably requires for maintaining the records it is required to maintain by the Data Protection Legislation; and

(h) provide the other Party with contact details of at least one employee as point of contact and responsible manager for all issues arising out of the Data Protection Legislation, including the procedures to be followed in the event of a Shared Data Breach.

5. DATA BREACHES

5.1 In respect of each Shared Data Breach, each Party shall:

(a) promptly notify the other Party of the Shared Data Breach;

(b) provide the other Party without undue delay (wherever possible, no later than 48 hours after becoming aware of the Shared Data Breach) with such details as the other Party reasonably requires regarding the Shared Personal Data.

5.2 To the extent permitted by applicable laws, neither Party shall:

(a) notify a supervisory or regulatory authority of any Shared Data Breach;

(b) issue a public statement or otherwise notify any Data Subject of such Shared Data Breach;

without first consulting with, and obtaining the consent (not to be unreasonably withheld or delayed) of, the other Party.

6. DELETION OR RETURN OF SHARED DATA

6.1 Neither Party shall retain or process the Shared Personal Data for longer than is necessary in connection with carrying out the Agreed Purposes.

6.2 Notwithstanding clause 6.1, the Parties shall continue to retain Shared Personal Data in accordance with any statutory or professional retention periods applicable in their respective countries and/or industry.

7. INTERNATIONAL TRANSFERS

7.1 In the event that CEM transfers Shared Personal Data to the Client outside the EEA or the transfer is not covered by an Adequacy Decision, both Parties shall be bound by the Standard Contractual Clauses as set out in Schedule 3 of this Agreement. If the Standard Contractual Clauses contradict any other part of this Agreement, including its Schedules and Annexes, the Standard Contractual Clauses shall prevail.
Annex

I. AGREED PURPOSES

For the purposes of this agreement, Agreed Purposes refer to the following purposes:

(a) CEM to provide the services under this Agreement to the Client;

(b) without prejudice to paragraph (a) above, CEM to (i) develop assessments and other CEM services and improve on their quality and integrity, including the collection of statistics and other information relating to such assessments and other services for CEM's future use and (ii) carry out marketing and market research, and provide training in order to improve on the delivery of assessments and other services;

(c) the Client to benefit from the services provided to it under this Agreement;

(d) without prejudice to paragraph (c) above, the Client to offer, and enable pupils, to take CEM's assessments; and

(e) the Parties to comply with their legal and regulatory obligations and to assist each other in relation to any exercise by a pupil of their rights as a data subject.

SCHEDULE 3 – STANDARD CONTRACTUAL CLAUSES

THIS SCHEDULE 3 ONLY APPLIES IN THE EVENT THAT CLIENT RECEIVES SHARED PERSONAL DATA OUTSIDE THE EEA OR OUTSIDE A TERRITORY OR ORGANISATION NOT SUBJECT TO AN ADEQUACY DECISION BY THE EUROPEAN COMMISSION.

Standard contractual clauses for the transfer of personal data from the Community to third countries (controller to controller transfers)

Data transfer agreement

between

CEM
hereinafter “data exporter” and

The Client
hereinafter “data importer”
each a “party”; together “the parties”.

Definitions

For the purposes of the clauses:

(a) “personal data”, “special categories of data/sensitive data”, “process/processing”, “controller”, “processor”, “data subject” and “supervisory authority/authority” shall have the same meaning as in Directive 95/46/EC of 24 October 1995 (whereby “the authority” shall mean the competent data protection authority in the territory in which the data exporter is established);

(b) “the data exporter” shall mean the controller who transfers the personal data;

(c) “the data importer” shall mean the controller who agrees to receive from the data exporter personal data for further processing in accordance with the terms of these clauses and who is not subject to a third country’s system ensuring adequate protection;

(d) “clauses” shall mean these contractual clauses, which are a free-standing document that does not incorporate commercial business terms established by the parties under separate commercial arrangements.

The details of the transfer (as well as the personal data covered) are specified in Annex B, which forms an integral part of the clauses.
I. **Obligations of the data exporter**

The data exporter warrants and undertakes that:

(a) The personal data have been collected, processed and transferred in accordance with the laws applicable to the data exporter.

(b) It has used reasonable efforts to determine that the data importer is able to satisfy its legal obligations under these clauses.

(c) It will provide the data importer, when so requested, with copies of relevant data protection laws or references to them (where relevant, and not including legal advice) of the country in which the data exporter is established.

(d) It will respond to enquiries from data subjects and the authority concerning processing of the personal data by the data importer, unless the parties have agreed that the data importer will so respond, in which case the data exporter will still respond to the extent reasonably possible and with the information reasonably available to it if the data importer is unwilling or unable to respond. Responses will be made within a reasonable time.

(e) It will make available, upon request, a copy of the clauses to data subjects who are third party beneficiaries under clause III, unless the clauses contain confidential information, in which case it may remove such information. Where information is removed, the data exporter shall inform data subjects in writing of the reason for removal and of their right to draw the removal to the attention of the authority. However, the data exporter shall abide by a decision of the authority regarding access to the full text of the clauses by data subjects, as long as data subjects have agreed to respect the confidentiality of the confidential information removed. The data exporter shall also provide a copy of the clauses to the authority where required.

II. **Obligations of the data importer**

The data importer warrants and undertakes that:

(a) It will have in place appropriate technical and organisational measures to protect the personal data against accidental or unlawful destruction or accidental loss, alteration, unauthorised disclosure or access, and which provide a level of security appropriate to the risk represented by the processing and the nature of the data to be protected.

(b) It will have in place procedures so that any third party it authorises to have access to the personal data, including processors, will respect and maintain the confidentiality and security of the personal data. Any person acting under the authority of the data importer, including a data processor, shall be obligated to process the personal data only on instructions from the data importer. This provision does not apply to persons authorised or required by law or regulation to have access to the personal data.

(c) It has no reason to believe, at the time of entering into these clauses, in the existence of any local laws that would have a substantial adverse effect on the guarantees provided for under these clauses, and it will inform the data exporter (which will pass such notification on to the authority where required) if it becomes aware of any such laws.

(d) It will process the personal data for purposes described in Annex B, and has the legal authority to give the warranties and fulfill the undertakings set out in these clauses.

(e) It will identify to the data exporter a contact point within its organisation authorised to respond to enquiries concerning processing of the personal data, and will cooperate in good faith with the data exporter, the data subject and the authority concerning all such enquiries within a reasonable time. In case of legal dissolution of the data exporter, or if the parties have so agreed, the data importer will assume responsibility for compliance with the provisions of clause I(e).

(f) At the request of the data exporter, it will provide the data exporter with evidence of financial resources sufficient to fulfill its responsibilities under clause III (which may include insurance coverage).

(g) Upon reasonable request of the data exporter, it will submit its data processing facilities, data files and documentation needed for processing to reviewing, auditing and/or certifying by the data exporter (or any independent or impartial inspection agents or auditors, selected by the data exporter and not reasonably objected to by the data importer) to ascertain compliance with the warranties and undertakings in these clauses, with reasonable notice and during regular business hours. The request will be subject to any necessary consent or approval from a regulatory or supervisory authority within the country of the data importer, which consent or approval the data importer will attempt to obtain in a timely fashion.

(h) It will process the personal data, at its option, in accordance with:

(i) the data protection laws of the country in which the data exporter is established, or

(ii) the relevant provisions (*) of any Commission decision pursuant to Article 25(6) of Directive 95/46/EC, where the data importer complies with the relevant provisions of such an authorisation or decision and is based in a country to which such an authorisation or decision pertains, but is not covered by such authorisation or decision for the purposes of the transfer(s) of the personal data

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1 “ Relevant provisions” means those provisions of any authorisation or decision except for the enforcement provisions of any authorisation or decision (which shall be governed by these clauses).
However, the provisions of Annex A.5 concerning rights of access, rectification, deletion and objection must be applied when this option is chosen and take precedence over any comparable provisions of the Commission Decision selected.
(iii) the data importer is in substantial or persistent breach of any warranties or undertakings given by it under these clauses;

(iv) a final decision against which no further appeal is possible of a competent court of the data exporter’s country of establishment or of the authority rules that there has been a breach of the clauses by the data importer or the data exporter; or

(v) a petition is presented for the administration or winding up of the data importer, whether in its personal or business capacity, which petition is not dismissed within the applicable period for such dismissal under applicable law; a winding up order is made; a receiver is appointed over any of its assets; a trustee in bankruptcy is appointed, if the data importer is an individual; a company voluntary arrangement is commenced by it; or any equivalent event in any jurisdiction occurs then the data exporter, without prejudice to any other rights which it may have against the data importer, shall be entitled to terminate these clauses, in which case the authority shall be informed where required. In cases covered by (i), (ii), or (iv) above the data importer may also terminate these clauses.

(c) Either party may terminate these clauses if (i) any Commission positive adequacy decision under Article 25(6) of Directive 95/46/EC (or any superseding text) is issued in relation to the country (or a sector thereof) to which the data is transferred and processed by the data importer, or (ii) Directive 95/46/EC (or any superseding text) becomes directly applicable in such country.

(d) The parties agree that the termination of these clauses at any time, in any circumstances and for whatever reason (except for termination under clause VI(c)) does not exempt them from the obligations and/or conditions under the clauses as regards the processing of the personal data transferred.

VII. Variation of these clauses

The parties may not modify these clauses except to update any information in Annex B, in which case they will inform the authority where required. This does not preclude the parties from adding additional commercial clauses where required.

VIII. Description of the Transfer

The details of the transfer and of the personal data are specified in Annex B. The parties agree that Annex B may contain confidential business information which they will not disclose to third parties, except as required by law or in response to a competent regulatory or government agency, or as required under clause I(e). The parties may execute additional annexes to cover additional transfers, which will be submitted to the authority where required. Annex B may, in the alternative, be drafted to cover multiple transfers.

Dated: start date of the Agreement.

ANNEX A

DATA PROCESSING PRINCIPLES

1. Purpose limitation: Personal data may be processed and subsequently used or further communicated only for purposes described in Annex B or subsequently authorised by the data subject.

2. Data quality and proportionality: Personal data must be accurate and, where necessary, kept up to date. The personal data must be adequate, relevant and not excessive in relation to the purposes for which they are transferred and further processed.

3. Transparency: Data subjects must be provided with information necessary to ensure fair processing (such as information about the purposes of processing and about the transfer) unless such information has already been given by the data exporter.

4. Security and confidentiality: Technical and organisational security measures must be taken by the data controller that are appropriate to the risks, such as against accidental or unlawful destruction or accidental loss, alteration, unauthorised disclosure or access, presented by the processing. Any person acting under the authority of the data controller, including a processor, must not process the data except on instructions from the data controller.

5. Rights of access, rectification, deletion and objection: As provided in Article 12 of Directive 95/46/EC, data subjects must, whether directly or via a third party, be provided with the personal information about them that an organisation holds, except for requests which are manifestly abusive, based on unreasonable intervals or their number or repetitive or systematic nature, or for which access need not be granted under the law of the country of the data exporter. Provided that the authority has given its prior approval, access need also not be granted when doing so would be likely to seriously harm the interests of the data importer or other organisations dealing with the data importer and such interests are not overridden by the interests for fundamental rights and freedoms of the data subject. The sources of the personal data need not be identified when this is not possible by reasonable efforts, or where the rights of persons other than the individual would be violated. Data subjects must be able to have the personal information about them rectified, amended, or deleted where it is inaccurate or processed against these principles. If there are compelling grounds to doubt the legitimacy of the request, the organisation may require further justifications before proceeding to rectification, amendment or deletion. Notification of any rectification, amendment or deletion to third parties to whom the data have been disclosed need not be made when this involves a disproportionate effort. A data subject must also be able to object to the processing of the personal data relating to him if there are compelling legitimate grounds relating to his particular situation. The burden of proof for any refusal rests on the data importer, and the data subject may always challenge a refusal before the authority.
6. Sensitive data: The data importer shall take such additional measures (e.g. relating to security) as are necessary to protect such sensitive data in accordance with its obligations under clause II.

7. Data used for marketing purposes: Where data are processed for the purposes of direct marketing, effective procedures should exist allowing the data subject at any time to “opt-out” from having his data used for such purposes.

8. Automated decisions: For purposes hereof “automated decision” shall mean a decision by the data exporter or the data importer which produces legal effects concerning a data subject or significantly affects a data subject and which is based solely on automated processing of personal data intended to evaluate certain personal aspects relating to him, such as his performance at work, creditworthiness, reliability, conduct, etc. The data importer shall not make any automated decisions concerning data subjects, except when:

   (a) (i) such decisions are made by the data importer in entering into or performing a contract with the data subject, and

   (ii) the data subject is given an opportunity to discuss the results of a relevant automated decision with a representative of the parties making such decision or otherwise to make representations to that parties.

   or

   (b) where otherwise provided by the law of the data exporter.

ANNEX B
DESCRIPTION OF THE TRANSFER

Data subjects
The personal data transferred concern the following categories of data subjects:

Students (including under 13 years old) and data subjects acting on behalf of the data exporter such as employees or contractors.

Purposes of the transfer(s)
The transfer is made for the following purposes
As set out in the Agreement/s between the parties.

Categories of data
The personal data transferred concern the following categories of data:

Full names, sex, identification numbers (such as candidate number), centre/school details, exam details, candidate answers, contact details, native language, year group, date of birth, class name, place of birth, free school meals status, previous school, average GCSE score or similar, subject choices for A Level or other exam, test venue, application to other grammar school, special arrangements and any other information that may be required in connection with the purposes of the transfers.

Recipients
The personal data may be disclosed only to the following recipients or categories of recipients:

As per the agreement/s between the parties or otherwise as the parties see fit in accordance with their own privacy policies and applicable legislation.

Sensitive data (if appropriate)
The personal data transferred concern the following categories of sensitive data:

Some special category data such as disabilities may be inferred by special arrangements. Ethnic background may be processed as well.

Data protection registration information of data exporter (where applicable)
UK ICO registration number: Z6641083
Additional useful information (storage limits and other relevant information) As set out in the agreements that govern the relationship between the parties.

Contact points for data protection enquiries
Data importer
Data exporter
As provided by data importer to data exporter as soon as the Licence is accepted privacy@cambridge.org